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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Tetsuzo UEDA, et al. : Confirmation Number: 1264

Serial No.: 10/812,416 : Group Art Unit: 2811

Filed: March 30, 2004 : Examiner:

For: A 4H-POLYTYPE GALLIUM NITRIDE-BASED SEMICONDUCTOR DEVICE ON A

4H-POLYTYPE SUBSTRATE

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

10/812,416

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Respectfully submitted,

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Date: August 18, 2004

SHEET 1 OF 1

NFORMATION DISCLOSURE ATTY. DOCKET NO. SERIAL NO. 43890-673 10/812,416 CITATION IN AN APPLICATION **APPLICANT** Tetsuzo UEDA, et al. **GROUP FILING DATE** (PTO-1449) March 30, 2004 2811 **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, Where Name of Patentee or Applicant of Cited EXAMINER'S CITE Document Number **Publication Date** INITIALS MM-DD-YYYY Document Relevant Passages or Relevant Number-Kind Code2 (# known) Figures Appear US US US US US US US FOREIGN PATENT DOCUMENTS Pages, Columns, Lines Translation **EXAMINER'S Publication Date** Name of Patentee or Foreign Patent Document Applicant of Cited Document Where Relevant INITIALS Country Code3-Number 4-Kind MM-DD-YYYY CITE Figures Appear Codes (if known) NO. No OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, **EXAMINER'S** journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where INITIALS CITE published. NO. ONOJIMA, Norio., et al. " Growth of AlN (1120) on 6HSiC (1120) by Molecular Epitaxy." Jpn J. Appl. Phys. Vol. 41 (2002) pp. L 1348-1350 ONOJIMA, Norio., et al. " Epitaxial Growth of AlN on 6H-SiC 91120) by Molecular-Beam Epitaxy and Effect of Low-Temperature Buffer Layer." Materials Research Society Symposium Proceedings Volume 743 (2003) L3.21.1-L3.21.6 N. ONOJIMA., et al. " Growth of high-quality non-polar AIN on 4H-SiC(11-20) substrate by molecular-beam epitaxy. Technical Digest of 5th International Conference on Nitride Semiconductors May 25-30, 2003 Nara, Japan, P.229 N. ONOJIMA., et al. " Extended Abstract of 2003 Fall Meeting of the Japan Society of Applied Physics Society, 30p-G-10 N. ONOJIMA., et al. " Growth of high-quality non-polar AIN on 4H-SiC(11-20) substrate by molecular-beam epitaxy." Phys.Stat. Sol. © 0, No.7, 2502-2505 (2003) N. ONOJIMA., et al. "4H-polytype AIN grown on 4H-SiC (1120) substrate by polytype replication." Applied Physics Letters, Volume 83, Number 25, December 22, 2003, pp. 5208-5210 DATE CONSIDERED **EXAMINER**

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.